

Charitable Contributions & Grants Applications



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The Company may, from time to time, provide sponsorship, donations and/or assistance to bonafide charitable organizations, whether in the form of funds, assets, services, or other types of support (collectively “Charitable Contributions”). All Charitable Contributions must be for a bona fide charitable purpose, must not conflict with the terms of this Policy or any other Company policy, and all requests must be submitted to the Grants Committee and approvals must be recorded in a designated manner. The Company does not make political contributions. Any exceptions must be requested in advance, and submitted to the Grants Committee, and the approval of each must be recorded in a designated manner.

As a leading provider of high-quality, medical equipment, Nihon Kohden America, Inc. (NKA) is committed to providing support for: (1) the advancement of medical education; (2) the improvement of patient care; (3) public education on pertinent health care topics; and other bona fide charitable purposes that are consistent with the company's mission.

NKA welcomes applications for support from healthcare professional organizations through our Grants and Charitable Contributions process described below. Please review the areas of available support and complete the application process for the type of support you are requesting.

Types of Available Funding

1. Educational Grants for the Advancement of Medical Education
 - a) Conferences, meetings, symposia and congresses
 - b) General educational grants (e.g., grand rounds, journal clubs)
2. Research Grants (Note: As a general rule, NKA will not pay more than 25% overhead on research studies and grants in partnership with institutions. Further, this payment is considered inclusive of any overhead on fringe benefits; no separate overhead payments shall be made for this category.)
3. Charitable Contributions
 - a) Charitable missions
 - b) Public education
 - c) Patient education
 - d) Support of indigent care



Applications

1. Third Party Conference Support
2. General Education Grant
3. Research Grant
4. Charitable Contribution

Examples of the types of organizations that are qualified to submit grant and charitable contribution applications through this process are:

1. Hospitals, universities and foundations
2. Medical professional organizations
3. State medical societies
4. 501(c)(3) organizations affiliated with healthcare and healthcare professional individuals for bona fide charitable missions only
5. Irvine California Emergency Response Departments

For questions regarding NKA's Grant and Charitable Contribution process or application requirements, please contact:

Grants Committee
Nihon Kohden America, Inc.
15353 Barranca Parkway
Irvine, CA 92618
D: 949-268-7714
F: 949-271-5675
Email: Grants@nihonkohden.com

General Funding Guidelines

NKA is committed to conducting its business in a manner that meets only the highest level of ethical standards. As such, grants and charitable contributions are never awarded based upon past or future purchases, uses, orders, or recommendations of NKA products. Our grant and charitable contribution award process is conducted in accordance with the guidance provided by the ACCME Standards for Commercial Support, AdvaMed Code of Ethics on Interactions with Health Care Professionals (US and abroad) and NKA's Marketing Code of Conduct.

Any evidence that an application is tied in any way to the past, present, or future use, order, recommendation or purchase of NKA's products will result in denial, and may exclude the organization from consideration for future funding.

- NKA may be required by law to report information regarding funded programs to state and federal agencies, and those agencies may make such information publicly available.
- NKA funds only those applicants that are willing to provide NKA with financial and audit reports upon request and meet our established grant criteria, including the submission of budgets for educational conferences.
- NKA receives numerous requests. However, only limited funds are available, therefore, not all requests submitted to NKA for consideration will receive funding.
- Occasionally NKA may invite organizations to apply for funding. However, the invitation to submit and the actual submission of an application is not a guarantee of funding.
- A complete application for all grant and/or charitable contribution requests should be submitted to NKA a minimum of 6 weeks prior to the date by which you wish to receive a funding decision.

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- NKA will not consider applications for funding for conferences, events or programs which have begun or are concluded as of the date a completed application is received by NKA.
- Only complete applications will be considered.
- NKA does not fund requests for health care professionals to attend entertainment or recreational activities (e.g., holiday parties, golf outings, theater, sporting events, etc.). NKA is committed to professional and compliant interactions with all health care professionals that facilitate the exchange of medical or scientific information that will benefit patient care. In accordance with this objective and the AdvaMed Code of Ethics, NKA does not sponsor entertainment or recreational activities for health care professionals. This does not preclude NKA from making a contribution in support of a charitable event when the proceeds are used for charitable purposes.

Educational Grants for the Advancement of Medical Education

NKA understands the importance of and need for highly trained, skilled medical professionals who are able to provide quality patient care in a broad range of settings, including preventative, pre-operative, post-operative, clinical and home rehabilitation care and is committed to providing support for the advancement of medical education.

Conferences, Meetings, Symposia and Congresses (Third Party Conference Support)

NKA supports the continuing education of healthcare professionals in the areas of prevention, treatment and rehabilitation. NKA will consider support of bona fide independent, educational, scientific, or policymaking conferences that promote scientific knowledge, medical advancement and the delivery of effective health care.

If you are interested in applying for a grant for an educational event please complete the Third Party Conference Support Application and submit the application directly to Grants@nihonkohden.com or via fax 760-597-3487.

All applications for Third Party Conference Support require the following minimum supporting documentation to be submitted with your application:

1. Registration Documentation, Letter of Request (on organization's letterhead), or other documentation to show how the requested grant amount was determined;
2. Detailed Program Brochure/Agenda (all content must be included);
3. Detailed Budget (lump sum amounts will not satisfy this requirement);
4. Evidence of CME/CEU Credits (if applicable);
5. Composition of Target Audience;
6. Tax-Exempt Status Determination Letter (if applicable); and
7. Completed W-9 (US only).

To ensure compliance, NKA reserves the right to request information in addition to the documents listed here.

Please note: Only complete applications will be considered (complete request form and all required supporting documentation). Complete application should be submitted to Grants@nihonkohden.com no less than 6 weeks (45 days) prior to determination deadline.

General Educational Grants

If you are interested in applying for an educational grant please complete the NKA Educational Grant Application and submit the application directly to Grants@nihonkohden.com.

All applications for educational grants require the following minimum supporting documentation to be submitted with your application:

1. Letter of Request (on organization's letterhead);
2. Detailed Program Brochure, Explanation of Objectives/Goals and Selection Criteria (as applicable);
3. Detailed Budget (lump sum amounts will not satisfy this requirement)
4. Evidence of Accreditation (if applicable)
5. Tax-Exempt Status Determination Letter (if applicable)
6. Completed W-9 (US only)

To ensure compliance, NKA reserves the right to request information in addition to the documents listed here.

Please note: Only complete applications will be considered (complete request form and all required supporting documentation). Complete application should be submitted to Grants@nihonkohden.com no less than 6 weeks (45 days) prior to determination deadline.

Research Grants

NKA supports the continuing education of healthcare professionals in the areas of prevention, treatment and rehabilitation. NKA will consider support of such independent medical research grants with well-defined objectives and milestones. If you are interested in applying for a research grant please complete the NKA Research Grant Application and submit the application directly to Grants@nihonkohden.com.

All applications for research grants require the following minimum supporting documentation to be submitted with your application:

1. Letter of Request (on organization's letterhead);
2. Detailed Budget (lump sum amounts will not satisfy this requirement);
3. List of deliverables or Milestones;
4. Protocol;
5. Schedule of Visits;
6. Status of IRB, or equivalent body, Approval (IRB approval must be submitted once obtained);
7. Tax-Exempt Status Determination Letter (if applicable); and
8. Completed W-9 (US only).

To ensure compliance, NKA reserves the right to request information in addition to the documents listed here.

Please note: Only complete applications will be considered (complete request form and all required supporting documentation). Complete application should be submitted to Grants@nihonkohden.com no less than 6 weeks (45 days) prior to determination deadline.

Charitable Contributions

NKA accepts applications for industry based customer charitable contributions directly from 501(c)(3) organizations. NKA will not consider applications submitted by an individual or organization on behalf of another. If you are interested in applying for a charitable contribution please complete the NKA Charitable Contribution Application and submit the application directly to Grants@nihonkohden.com.

All applications for charitable contributions require the following minimum supporting documentation to be submitted with your application:

1. Letter of Request (on the 501(c)(3) organization's letterhead);
2. Organization Overview;
3. Copy of the charitable event brochure, flyer, invitation, agenda or program brochure and explanation of program goals and objectives (as applicable);
4. Tax-Exempt Status Determination Letter; and
5. Completed W-9 (US only).

To ensure compliance, NKA reserves the right to request information in addition to the documents listed here.

Please note: Only complete applications will be considered (complete request form and all required supporting documentation). Complete application should be submitted to Grants@nihonkohden.com no less than 6 weeks (45 days) prior to determination deadline.



**For more information, please
contact us at 1-800-325-0283
or visit us.nihonkohden.com**

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